

12-27-00

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PTO/SB/05 (08-00)

Please type a plus sign (+) inside this box ☒

Approved for use through 10/31/2002. OMB 0651-0032

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JC962 U.S. PTO

12/22/00

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket 0217.99

First Inventor Guadalupe M. Rojas

Title Urea and Nitrogen Based Compounds as Feeding Stimulants/Aggregants and Masking Agents of Unpalatable Chemicals for Subterranean Termites

Express Mail Label No EK 419816511 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27. 2.
3. ☒ Specification [Total Pages 21]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
5. ☒ Oath or Declaration [Total Pages 1]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: PTO/SB/35-Request & Cert. Under 35 USC 122(b)(2)(B)(i)

8. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 1


Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

25712

|                   |   |                                   |               |
|-------------------|---|-----------------------------------|---------------|
| Name (Print/Type) | Randall E. Deck   | Registration No. (Attorney/Agent) | 34,078        |
| Signature         |  |                                   | Date 12/22/00 |

(Burden Hour Statement) This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

 PTO  
09/748036  
12/22/00

# FEE TRANSMITTAL

## FY2001

Total Amount of Payment **\$ 1092.00**

JC962 U.S. PTO



12/22/00

### Method of Payment

1. The Commissioner is hereby authorized to charge any fees set forth in CFR §§ 1.116 to 1.118 to the Deposit Account listed below for the entire pendency of the application, or credit any overpayment to this account. A duplicate copy of this sheet is enclosed:

Deposit Account Number: **01-0455**

Deposit Account Name: **USDA-ARS-OTT**

### Fee Calculation:

#### 1. Basic Filing Fee

| Large Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid  |
|----------------|-----------------|------------------------|-----------|
| 101            | 710.00          | Utility Filing Fee     | 710.00    |
| 106            | 320.00          | Design Filing Fee      |           |
| 107            | 490.00          | Plant Filing Fee       |           |
| 108            | 710.00          | Reissue Filing Fee     |           |
| 114            | 150.00          | Provisional Filing Fee |           |
| SUBTOTAL (1)   |                 |                        | \$ 710.00 |

#### 2. Extra Claim Fees

|                    | Total Claims | Extra Claims | Fee From Below | Fee Paid |
|--------------------|--------------|--------------|----------------|----------|
|                    | 39 -20**=    | 19 X         | 18.00 =        | \$342.00 |
| Independent Claims | 3 - 3**=     | - X          | 80.00 =        | -        |
| Multiple Dependent |              | - X          |                | -        |

\*\* or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|---|
| 103            | 18.00           | Claims in excess of 20                                  |
| 102            | 80.00           | Independent claims in excess of 3                       |
| 104            | 270.00          | Multiple dependent claims, if not paid                  |
| 109            | 80.00**         | Reissue independent claims over original patent         |
| 110            | 18.00**         | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 342.00

### Complete If Known:

Application Number:

Filing Date:

First Named Inventor:

Guadalupe M. Rojas

Examiner Name:

Group/Art Unit:

Attorney Docket Number:

0217.99

### FEE CALCULATION (Continued)

#### 3. Additional Fees

| Large Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|--|----------|
| 105            | 130.00          | Surcharge - late filing fee or oath  |          |
| 127            | 50.00           | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139            | 130.00          | Non-English specification  |          |
| 147            | 2,520.00        | For filing a request for examination                                       |          |
| 112            | 920.00*         | Requesting publication of SIR prior to Examiner action                     |          |
| 113            | 1,840.00*       | Requesting publication of SIR after Examiner action                        |          |
| 115            | 110.00          | Extension for reply within first month                                     |          |
| 116            | 390.00          | Extension for reply within second month                                    |          |
| 117            | 890.00          | Extension for reply within third month                                     |          |
| 118            | 1,390.00        | Extension for reply within fourth month                                    |          |
| 128            | 1,890.00        | Extension for reply within fifth month                                     |          |
| 119            | 310.00          | Notice of Appeal   |          |
| 120            | 310.00          | Filing a brief in support of an appeal                                     |          |
| 121            | 270.00          | Request an oral hearing  |          |
| 138            | 1,510.00        | Petition to institute a public use proceeding                              |          |
| 140            | 110.00          | Petition to revive - unavoidable   |          |
| 141            | 1,240.00        | Petition to revive - unintentional   |          |
| 142            | 1,240.00        | Utility issue fee (or reissue)   |          |
| 143            | 440.00          | Design issue fee   |          |
| 144            | 600.00          | Plant issue Fee  |          |
| 122            | 130.00          | Petitions to the Commissioner  |          |
| 123            | 50.00           | Petitions related to provisional applications                              |          |
| 126            | 240.00          | Submission of Information Disclosure Statement                             |          |
| 581            | 40.00           | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146            | 690.00          | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149            | 690.00          | For each additional invention to be examined (37 CFR § 1.129(b))           |          |

Other Fee (specify) \_\_\_\_\_

Other Fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Paid

SUBTOTAL (3) \$ 40.00

|                   |                 |                                   |        |           |                                  |
|-------------------|-----------------|-----------------------------------|--------|-----------|----------------------------------|
| Submitted By      |                 |                                   |        |           |                                  |
| Name (Print/Type) | RANDALL E. DECK | Registration No. (Attorney/Agent) | 34,078 | Telephone | 309/681-6515<br>309/681-6688 FAX |
| Signature         |                 |                                   |        | Date      | 12/22/00                         |

Fees current as of 01/10/2000

Express # EK 419816511 US

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail in an envelope addressed to, Assistant Commissioner of Patents & Trademarks, Washington, D.C. 20231 on

December 22, 2000  
(Date of Deposit)

Randall E. Deck  
Name of Depositor

Signature

12/22/00  
Date of Signature

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**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor      Guadalupe M. Rojas

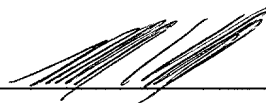
Title      Urea and Nitrogen Based Compounds as Feeding  
Stimulants/Aggregants and Masking Agents of  
Unpalatable Chemicals for Subterranean Termites

Atty Docket Number      0217.99

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/22/00

Date



Signature

RANDALL E. DECK

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement. This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

12/22/00  
09/14/03  
12/22/00